

Attorney Docket No. 00880D/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): IKEGAME, T.

Div. of
Serial No. : 09/736,550

Filed : Herewith

For : OPTICAL ELEMENT
SUPPORTING DEVICE IN
AN APPARATUS FOR
RECORDING/REPRODUCING
INFORMATION

Art Unit : Not Yet Assigned

Examiner : Not Yet Assigned

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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No.: EL 335578438 US

Date of Deposit: September 18, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231


Nalini P. Sahadeo

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

INFORMATION DISCLOSURE STATEMENT

S I R :

Submitted herewith are Forms PTO/SB/08A and PTO/SB/08B listing the particulars of the documents cited by the Examiner in parent application Serial No. 09/736,550 and which were made "of record" in said parent application.

Copies of the publications listed on the attached Forms PTO/SB/08A and PTO/SB/08B are not supplied herewith. As per 37 CFR 1.98(d), which was effective March 16, 1992, copies of publications listed in an Information Disclosure Statement are not required to be provided if the information was cited or

submitted in a prior application. The present application properly identifies prior parent application Serial No. 09/736,550, filed December 13, 2000 and relies upon said prior parent application for an earlier filing date under 35 USC 120.

It is respectfully requested that the Examiner consider the publications identified on the attached Forms PTO/SB/08A and PTO/SB/08B submitted herewith and make them of record, as in the parent application.

It is further requested that the Examiner return initialed copies of the attached Forms PTO/SB/08A and PTO/SB/08B to confirm that the publications listed therein have been made of record.

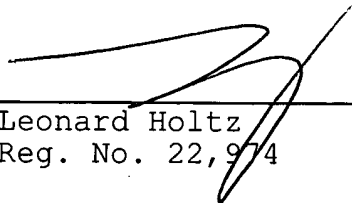
The references are all relevant since they are "of record" in the parent application of which the present application is a Continuation.

It is respectfully requested that prosecution on the merits now proceed on the basis of the application as amended herewith.

If the Examiner has any comments, questions, objections or recommendations, the Examiner is invited to telephone the undersigned at the telephone number given below for prompt action.

Respectfully submitted,

September 18, 2003



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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number		Not Yet Assigned	
				Filing Date		Herewith	
				First Named Inventor		IKEGAME, T.	
				Group Art Unit		Not Yet Assigned	
				Examiner Name		Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number		00880D/LH	

U.S. PATENT DOCUMENTS

Exam. Inits*	Cite No ¹	Document Number	Kind Code ²	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
	A	4,965,785		TADOKORO et al.	10-23-1990	
	B	5,691,971	A	KIM	11-25-1997	
	C	5,774,443	A	SHIBANO	06-30-1998	
	D	6,215,755	B1	SNYDER et al.	04-10-2001	
	E	6,339,577	B1	HINENO	01-15-2002	

FOREIGN PATENT DOCUMENTS

Exam Inits*	Cite No ¹	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
	F	JP	4-301226		PHILIPS	10-23-1992		x*
	G	JP	7-21565		GLOEILAMPENFAB:NV SANYO ELECTRIC CO. LTD.	01-24-1995		x*
			*ENGLISH LANGUAGE ABSTRACT ONLY					

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Not Yet Assigned
				Filing Date	Herewith
				First Named Inventor	IKEGAME, T
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	00880D/LH
OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
	H	1992 Symposium of the Association of Optics in Kyoto, Japan Title Page & pp. I-VI and p. 39-40, Partial English Language explanation attached.			
	I	40 th Meeting of the Japan Society of Applied Physics and Related Societies (Spring Meeting, 1993) - English Language translation of paragraphs 29p-B-11 and 20p-B-12 attached.			
Examiner Signature				Date Considered	

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. * Place a check here if English translation is attached.

DATE MAILED: August 25, 2003